PERSONAL FINANCIAL QUESTIONNAIRE

(This form is not made part of the public record of the application)
Financial statements submitted pursuant to NRS 645B.085 and NRS 645E.360 are public information.
Revision 1/2004

I have attached a statement of assets and liabilities or balance sheet as part of the application.
(Applicant may complete the form on page 2 if a statement of assets and liabilities or balance sheet is not available.)

This record is to be completed by a natural person affiliated with one of the following applications submitted to the Mortgage Lending Division. Indicate for which record this is submitted.

- ☐ Mortgage Broker
- ☐ Mortgage Banker
- ☐ Escrow Agency

Applicant must initial each page in the box in the lower right hand corner. By placing his/her initial on each age, the applicant is attesting to accuracy and completeness of the information contained on that page.

Applicants are advised that this personal financial record is an official document and misrepresentations or failure to disclose information requested may be deemed sufficient cause for the denial or revocation of a license.

Name of Person Completing this Record _____
Last *First* *Middle*

Name of Entity for which licensing affiliation is requested _____

List any dba's of Entity if not the corporate name _____

Address of Principal Place of Business

_____
(*Street address*) *(City)* *(State)* *(Zip)*

Telephone number _____

I, _____, being duly sworn, depose and say that the attached statements are true and correct to the best of my knowledge and belief and that this statement is executed with the knowledge that misrepresentation or failure to reveal information requested may be deemed sufficient cause for the refusal to by the Mortgage Lending Division issue a license. Further, that I am aware that later discovery of an omission or misrepresentation made in the attached statements may be grounds for the revocation of a license.

_____
Subscribed and sworn to before me this _____ day of _____, 19 _____.
STATE OF _____
COUNTY OF _____

☐
Applicant's Initial

STATEMENT OF ASSETS – as of date _____ _____

Current Assets

Cash on Hand	$ _____	$ _____
Checking account	$ _____	$ _____
Savings Account	$ _____	$ _____
Accounts and Notes Receivable:		
_____	$ _____	$ _____
_____	$ _____	$ _____
_____	$ _____	$ _____
Other Current Assets:		
_____	$ _____	$ _____
_____	$ _____	$ _____
_____	$ _____	$ _____
_____	$ _____	$ _____
Investments:		
Stocks, Bonds, Partnerships, Business Investments, etc.	$ _____	$ _____
Fixed Assets:		
_____	$ _____	$ _____
_____	$ _____	$ _____
_____	$ _____	$ _____
_____	$ _____	$ _____
Less Depreciation:	$ _____	$ _____
Other Assets:		
Automobiles, personal property, etc.		
_____	$ _____	$ _____
_____	$ _____	$ _____
_____	$ _____	$ _____
Total Assets	**$ _____**	**$ _____**

STATEMENT OF LIABILITIES

Current Liabilities

Accounts Payable (Credit Cards, etc.)	$ _____	$ _____
Taxes Payable	$ _____	$ _____
Notes Payable (List each lender separately, how secured, and monthly payments due thereon)		
_____	$ _____	$ _____
_____	$ _____	$ _____
Other Liabilities (Describe)		
_____	$ _____	$ _____
_____	$ _____	$ _____
_____	$ _____	$ _____
_____	$ _____	$ _____
Total Liabilities	**$ _____**	**$ _____**

NET WORTH **$ _____** **$ _____**

Amount to be invested in business $_____

% Ownership represented by investment _____

☐ Check this box if applicant is **NOT** subject to minimum net worth pursuant to NRS 645.020.

☐ Check this box if applicant is subject to minimum net worth pursuant to NRS 645.020.

NRS 645B.020 Application for license . . . must include a financial statement of the applicant and satisfactory proof that the applicant will be able to maintain continuously the net worth required pursuant to NRS 645B.115. A Mortgage broker that maintains trust accounts requires a minimum net worth.

Name of person completing this form _____

Signature of person completing this form _____

☐

Applicant's Initial